

# Generalized Derivations with Central Values on Lie Ideals

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## ABSTRACT

Let  $R$  be a prime ring of  $\text{char}R \neq 2$ ,  $H$  a generalized derivation and  $L$  a non-central lie ideal of  $R$ . We show that if  $l^s H(l)l^t \in Z(R)$  for all  $l \in L$ , where  $s, t \geq 0$  are fixed integers, then  $H(x) = bx$  for some  $b \in C$ , the extended centroid of  $R$ , or  $R$  satisfies  $S_4$ . Moreover, let  $R$  be a 2-torsion free semiprime ring, let  $A = O(R)$  be an orthogonal completion of  $R$  and  $B = B(C)$  the Boolean ring of  $C$ . Suppose  $[x_1, x_2]^s H([x_1, x_2])[x_1, x_2]^t \in Z(R)$  for all  $x_1, x_2 \in R$ , where  $s, t \geq 0$  are fixed integers. Then there exists idempotent  $e \in B$  such that  $H(x) = bx$  on  $eA$  and the ring  $(1-e)A$  satisfies  $S_4$ .

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## 1. INTRODUCTION

Let  $R$  be an associative ring with center  $Z(R)$ . Recall that an additive map  $d: R \rightarrow R$  is called derivation if  $d(xy) = d(x)y + xd(y)$ , for all  $x, y \in R$ . Many results in literature indicate that global structure of a prime (semiprime) ring  $R$  is often lightly connected to the behavior of additive mappings defined on  $R$ . A well-known result of Herstein [6] stated that if  $d$  is a nonzero derivation of a prime ring  $R$  such that  $d(x)^n \in Z(R)$  for all  $x \in R$ , then  $R$  satisfies  $S_4$ , the standard identity in four variables. Herstein's result was extended to the case of Lie ideals of prime rings by Bergen and Carini [2]. Some articles was studied derivation with central values on Lie ideals [4, 10]. Recently, Dhara [5] studied the more generalized situation when  $l^s d(l)l^t \in Z(R)$ , for all  $l \in L$ , the non-central Lie ideal of  $R$ , where  $s, t \geq 0$  are some fixed integers.

Here we will consider the same situation in case the derivation  $d$  is replaced by generalized derivation  $H$ . More specifically an additive map  $H: R \rightarrow R$  is called generalized derivation if there is a derivation  $d$  of  $R$  such that  $H(xy) = H(x)y + xd(y)$ , for all  $x, y \in R$ .

Throughout the paper we use the standard notation from [1]. In particular, we denote by  $Q$  the two sided Martindale quotient of prime (semiprime) ring  $R$  and  $C$  the center of  $Q$ . We call  $C$  the extended centroid of  $R$ .

The main results of this paper are as follows:

**Theorem 1.1.** Let  $R$  be a prime ring of  $\text{char}R \neq 2$ ,  $H$  generalized derivation and  $L$  a non-central Lie ideal of  $R$ . Suppose  $l^s H(l)l^t \in Z(R)$  for all  $l \in L$ , where  $s, t \geq 0$ , are fixed integers. Then  $H(x) = bx$  for some  $b \in C$ , the extended centroid of  $R$ , or  $R$  satisfies  $S_4$ .

When  $R$  is a semiprime ring, we prove:

**Theorem 1.2.** let  $R$  be a 2-torsion free semiprime ring with generalized derivation  $H$ . Consider  $[x_1, x_2]^s H([x_1, x_2])[x_1, x_2]^t \in Z(R)$  for all  $x_1, x_2 \in R$ , where  $s, t \geq 0$  are fixed integers. Further, let  $A = O(R)$  be the orthogonal completion of  $R$  and  $B = B(C)$  where  $C$  is the extended centroid of  $R$ . Then there exists idempotent  $e \in B$  such that  $H(x) = bx$  on  $eA$  and the ring  $(1-e)A$  satisfies  $S_4$ .

## 2. PROOF OF THE MAIN RESULT

The following results are useful tools needed in the proof of the main results.

**Lemma 2.1.** Every generalized derivation  $H$  on a dense right ideal of prime (semiprime) ring  $R$  can be uniquely extended to a generalized derivations of  $Q$ . Also can be write in the form  $H(x) = bx + d(x)$  for some  $b \in Q$ , all  $x \in Q$  and a derivation  $d$  of  $Q$  [11].

**Lemma 2.2.** (see [8, Lemma 2] and [3, Lemma 1]). Let  $R$  be a prime ring of  $\text{char}R \neq 2$ ,  $L$  be a non-central Lie ideal of  $R$  and  $I$  be the ideal of  $R$  generated by  $[L, L]$ . Then  $I \subseteq L + L^2$  and  $[I, I] \subseteq L$ .

**Theorem 2.3.** ( Kharchenko [7]). Let  $R$  be a prime ring,  $d$  a nonzero derivation of  $R$  and  $I$  a nonzero ideal of  $R$ . If  $I$

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satisfies the differential identity

$$f(r_1, r_2, \dots, r_n, d(r_1), d(r_2), \dots, d(r_n))=0,$$

for any  $r_1, r_2, \dots, r_n \in I$ , then one of the following holds:

(i)  $f$  satisfies the generalized polynomial identity

$$f(r_1, r_2, \dots, r_n, x_1, x_2, \dots, x_n) = 0.$$

(ii)  $d$  is  $Q$ -inner, that is, for some  $q \in Q$ ,  $d(x)=[q, x]$  and  $I$  satisfies the generalized polynomial identity

$$f(r_1, r_2, \dots, r_n, [q, r_1], [q, r_2], \dots, [q, r_n])=0.$$

We establish the following technical results required in the proof of Theorem 1.1.

**Lemma 2.4.** Let  $R=M_k(F)$  be a ring of all  $k \times k$  matrices over a field  $F$  where  $k \geq 3$ . Suppose  $b[x_1, x_2]+[x_1, x_2]c \in Z(R)$  for some  $b, c \in R$  and all  $x_1, x_2 \in R$ . Then  $b, c \in F.I_k$ .

**Proof.** Let  $b=(b_{ij})_{k \times k}$ ,  $c=(c_{ij})_{k \times k}$ . Putting  $x_1=e_{11}$  and  $x_2=e_{12}$ , we obtain  $b[x_1, x_2]+[x_1, x_2]c = be_{12}+e_{12}c$ . Since rank of  $b[x_1, x_2]+[x_1, x_2]c \leq 2$ , it cannot be invertible. This implies  $be_{12}+e_{12}c=0$ . Left and right multiplying by  $e_{12}$ , we get

$$0=e_{12}(be_{12}+e_{12}c)=b_{21}e_{12},$$

$$0=(be_{12}+e_{12}c)e_{12}=c_{21}e_{12}.$$

This implies that  $c_{21}=b_{21}=0$ . Thus for any  $i \neq j$ ,  $b_{ij}=c_{ij}=0$ . That is,  $b$  and  $c$  are diagonal. Let  $b = \sum_{i=1}^k b_{ii}e_{ii}$ , for any  $F$ -automorphism  $\theta$  of  $R$   $b^\theta$  enjoys the same property as  $b$  does, namely,  $b^\theta[x_1, x_2]+[x_1, x_2]c^\theta$  is zero or invertible, for every  $x_1, x_2 \in R$ . Hence  $b^\theta$  must be diagonal. Then for each  $j \neq 1$ ,

$$(1 + e_{1j})b(1 - e_{1j}) = \sum_{i=1}^k b_{ii}e_{ii} + (b_{jj} - b_{11})e_{1j},$$

is diagonal. Therefore,  $b_{jj}=b_{11}$  and so  $b \in F.I_k$ . Similarly, we conclude  $c \in F.I_k$ .  $\square$

**Lemma 2.5.** Let  $R=M_k(F)$  be a ring of all  $k \times k$  matrices over a field  $F$  of  $char F \neq 2$ , where  $k \geq 3$ . Suppose  $[x_1, x_2]^s (b[x_1, x_2]+[x_1, x_2]c)[x_1, x_2]^t \in Z(R)$ , for some  $b, c \in R$  and all  $x_1, x_2 \in R$  where  $s, t \geq 0$  are fixed integers such that  $s+t \neq 0$ . Then  $b, c \in F.I_k$ .

**Proof.** Let  $b=(b_{ij})_{k \times k}$ ,  $c=(c_{ij})_{k \times k}$  and set

$$f(x_1, x_2)=[x_1, x_2]^s (b[x_1, x_2]+[x_1, x_2]c)[x_1, x_2]^t.$$

Putting  $x_1=e_{11}$ ,  $x_2=e_{12}+e_{21}$ , we obtain  $[x_1, x_2]=e_{12}+e_{21}$  and  $[x_1, x_2]^n=e_{11}+e_{22}$  for  $n \geq 2$ . So we have four cases:

Case 1.  $s=t=1$ . We get

$$f(x_1, x_2)=(b_{21}+c_{12})e_{11}+(b_{12}+c_{21})e_{22}+(b_{22}+c_{11})e_{12}+(b_{11}+c_{22})e_{21}.$$

Case 2.  $s=0$  and  $t=1$ . We get

$$f(x_1, x_2)=(b_{11}+c_{22})e_{11}+(b_{22}+c_{11})e_{22}+(b_{12}+c_{21})e_{12}+(b_{21}+c_{12})e_{21} + \sum_{i=3}^k b_{ii}e_{ii} + \sum_{i=3}^k b_{i2}e_{i2}$$

Case 3.  $s=1$  and  $t=0$ . We get

$$f(x_1, x_2)=(b_{22}+c_{11})e_{11}+(b_{11}+c_{22})e_{22}+(b_{21}+c_{12})e_{12}+(b_{12}+c_{21})e_{21} + \sum_{i=3}^k c_{ii}e_{ii} + \sum_{i=3}^k c_{2i}e_{2i}$$

Case 4.  $s, t \geq 2$ . We obtain

$$f(x_1, x_2)=(b_{12}+c_{21})e_{11}+(b_{21}+c_{12})e_{22}+(b_{11}+c_{22})e_{12}+(b_{22}+c_{11})e_{21}.$$

In each case, since rank of  $f(x_1, x_2) \leq 2$ ,  $f(x_1, x_2) = 0$ . Thus

$$b_{12}=-c_{21} \text{ and } b_{21}=-c_{12},$$

and so for any  $i \neq j$  we have

$$(1) \quad b_{ij} = -c_{ji}$$

Now putting  $x_1=e_{11}$ ,  $x_2=e_{12}+e_{21}$ , we have  $[x_1, x_2]^n = (-1)^{\frac{n}{2}}(e_{11}+e_{22})$  if  $n$  is even and

$$(-1)^{\frac{n-1}{2}}(e_{12}-e_{21}) \text{ if } n \text{ is odd.}$$

Four cases may be occurred:

Case 1.  $s$  and  $t$  are even. We get

$$f(x_1, x_2) = \pm((-b_{12}+c_{21})e_{11}+(b_{21}-c_{12})e_{22}+(b_{11}+c_{22})e_{12}+(-b_{22}-c_{11})e_{21}).$$

Case 2.  $s$  and  $t$  are odd. We get

$$f(x_1, x_2) = \pm((-b_{21}+c_{12})e_{11}+(b_{12}-c_{21})e_{22}+(-b_{22}-c_{11})e_{12}+(b_{11}+c_{22})e_{21}).$$

Case 3.  $s$  is even and  $t$  is odd. We get

$$f(x_1, x_2) = \pm((-b_{11}-c_{22})e_{11}+(-b_{22}-c_{11})e_{22}+(-b_{12}+c_{21})e_{12}+(-b_{21}+c_{12})e_{21}).$$

Case 4.  $s$  is odd and  $t$  is even. We get

$$f(x_1, x_2) = \pm((-b_{22}-c_{11})e_{11}+(-b_{11}-c_{22})e_{22}+(b_{21}-c_{12})e_{12}+(b_{12}-c_{21})e_{21}).$$

In each cases, since rank of  $f(x_1, x_2) \leq 2, f(x_1, x_2) = 0$ . Thus

$$b_{12} = c_{21} \quad \text{and} \quad b_{21} = c_{12},$$

and so for any  $i \neq j$  we have

$$(2) \quad b_{ij} = c_{ji}.$$

(1) and (2) imply that  $b$  and  $c$  are diagonal. So we apply the same argument used in the proof of Lemma 2.4. Hence  $b, c \in F \cdot I_k$ .

Now we can prove Theorem 1.1.

*Proof of Theorem 1.1.* Since  $\text{char}R \neq 2$  and  $L$  is non-central Lie ideal, by Lemma 2.2 there exists an ideal  $I$  of  $R$  such that  $0 \neq [I, I] \subseteq L$  and  $[L, L] \neq 0$ . Hence, without loss of generality, we may assume  $L = [I, I]$ . Thus  $I$  satisfies the generalized differential identity

$$[x_1, x_2]^s H([x_1, x_2])[x_1, x_2]^t \in Z(R).$$

Let  $Q$  be the two sided Martindale quotient ring and  $C$  the extended centroid of  $R$ . By [11]  $I$  and  $Q$  satisfy the same differential identities, thus we may assume

$$[x_1, x_2]^s H([x_1, x_2])[x_1, x_2]^t \in Z(R),$$

for all  $x_1, x_2 \in Q$ . By Lemma 2.1 we may assume  $H(x) = bx + d(x)$  for some  $b \in Q$  all  $x \in Q$  and  $d$  a derivation of  $Q$ . Hence  $Q$  satisfies

$$[x_1, x_2]^s (b[x_1, x_2] + d([x_1, x_2])) [x_1, x_2]^t \in Z(R).$$

This is a polynomial identity. Hence there exists a field  $F$  such that  $Q \subseteq M_k(F)$ , the ring of  $k \times k$  matrices over field  $F$ , where  $k > 1$ . Moreover  $Q$  and  $M_k(F)$  satisfy the same polynomial identity [9]. Hence we have

$$(3) \quad [x_1, x_2]^s (b[x_1, x_2] + d([x_1, x_2])) [x_1, x_2]^t \in Z(M_k(F)).$$

Now consider two cases:

*case 1.*  $d$  is a  $Q$ -inner derivation. In this case, there exists an element  $p \in Q$  such that  $d(x) = [p, x]$  for all  $x \in M_k(F)$ , then (3) becomes

$$[x_1, x_2]^s (b[x_1, x_2] + [p, [x_1, x_2]]) [x_1, x_2]^t \in Z(M_k(F)).$$

So

$$[x_1, x_2]^s ((b+p)[x_1, x_2] - [x_1, x_2]p) [x_1, x_2]^t \in Z(M_k(F)),$$

for all  $x_1, x_2 \in M_k(F)$ . In this case if  $k \geq 3$  and  $s = t = 0$ , then by Lemma 2.4 we have  $-p, b + p \in F \cdot I_k$ . Also for  $k \geq 3$  and  $s + t \neq 0$ , Lemma 2.5 implies  $-p, b + p \in F \cdot I_k$ . Then  $b \in F \cdot I_k$ , and so  $d(x) = 0$ . Hence  $H(x) = bx$  for all  $x \in M_k(F)$ . So by [9] for all  $x \in R$  we have  $H(x) = bx$ . If  $k = 2$ , then  $R$  satisfies  $S_4$ .

*case 2.*  $d$  is not a  $Q$ -inner derivation. In this case we have

$$[[x_1, x_2]^s (b[x_1, x_2] + d([x_1, x_2])) [x_1, x_2]^t, x_3] = 0,$$

for all  $x_1, x_2, x_3 \in M_k(F)$ .

Then by Theorem 2.3 we have

$$[[x_1, x_2]^s (b[x_1, x_2] + [x_4, x_2] + [x_1, x_5]) [x_1, x_2]^t, x_3] = 0,$$

for all  $x_1, x_2, x_3, x_4, x_5 \in M_k(F)$ . In particular,  $M_k(F)$  satisfies its blended component

$$[[x_1, x_2]^s ([x_4, x_2] + [x_1, x_5]) [x_1, x_2]^t, x_3] = 0.$$

If  $k \geq 3$ , then by choosing

$$x_1 = e_{ij}, \quad x_2 = e_{ji}, \quad x_3 = e_{ik}, \quad x_4 = e_{ij}, \quad x_5 = 0,$$

For all  $i \neq j \neq k$ , we get

$$0 = [[x_1, x_2]^s ([x_4, x_2] + [x_1, x_5]) [x_1, x_2]^t, x_3] = e_{ik},$$

which is a contradiction. Thus  $k = 2$ , that is,  $R$  satisfies  $S_4$ .

Now let  $R$  be a semiprime orthogonally complete ring with extended centeroid  $C$ . The notations  $B = B(C)$  and  $\text{spec}(B)$  denotes Boolean ring of  $C$  and the set of all maximal ideal of  $B$ , respectively. It is well known that if  $M \in \text{spec}(B)$  then  $R_M = R/RM$  is prime [1, Theorem 3.2.7]. We use the notations  $\Omega$ - $\Delta$ -ring, Horn formulas and Hereditary formulas. We refer the reader to [1, pages 37, 38, 43, 120] for the definitions and the related properties of these objects.

We establish the following technical result required in the proof of Theorem 1.2.

**Lemma 2.6.** [1, Theorem 3.2.18]. Let  $R$  be an orthogonally complete  $\Omega$ - $\Delta$ -ring with extended centroid  $C$ ,  $\Psi_i(x_1, x_2, \dots, x_n)$  Horn formulas of signature  $\Omega$ - $\Delta$ ,  $i = 1, 2, \dots$  and  $\Phi(y_1, y_2, \dots, y_m)$  a Hereditary first order formula such that  $-\Phi$  is a Horn formula. Further, let  $\vec{a} = (a_1, a_2, \dots, a_n) \in R^{(n)}$ ,  $\vec{c} = (c_1, c_2, \dots, c_m) \in R^{(m)}$ . Suppose  $R = \phi(\vec{c})$  and for every  $M \in \text{spec}(B)$  there exists a natural number  $i = i(M) > 0$  such that

$$R_M \models \Phi(\phi_M(\vec{c})) \Rightarrow \Psi_i(\phi_M(\vec{a})),$$

Where  $\phi_M: R \rightarrow R_M = R/RM$  is the canonical projection. Then there exists a natural number  $k > 0$  and pairwise orthogonal idempotents  $e_1, e_2, \dots, e_k \in B$  such that  $e_1 + e_2 + \dots + e_k = 1$  and  $e_i R \models \Psi_i(e_i \vec{a})$  for all  $e_i \neq 0$ .

We denote  $O(R)$  the orthogonal completion of  $R$  which is defined as the intersection of all orthogonally complete subset of  $Q$  containing  $R$ .

Now we can prove Theorem 1.2.

*Proof of Theorem 1.2.* By assumption we have  $R$  satisfies

$$[[x_1, x_2]^s H([x_1, x_2])[x_1, x_2]^t, x_3] = 0.$$

By Lemma 2.1, the generalized derivation  $H$  can be extended uniquely to the generalized derivation on  $Q$ , moreover, we may assume  $H([x_1, x_2]) = b[x_1, x_2] + d([x_1, x_2])$ , for some  $b \in Q$ , all  $x_1, x_2 \in Q$  and  $d$  a derivation of  $Q$ . Hence  $Q$  satisfies

$$[[x_1, x_2]^s (b[x_1, x_2] + d([x_1, x_2])) [x_1, x_2]^t, x_3] = 0.$$

According to [1, Theorem 3.1.16]  $d(A) \subseteq A$  and  $d(e) = 0$  for all  $e \in B$ . Therefore,  $A$  is an orthogonally complete  $\Omega$ - $\Delta$ -ring, where  $\Omega = \{o, +, -, \cdot, d\}$ . Consider formulas

$$\Phi = (\forall x_1)(\forall x_2) \|[ [x_1, x_2]^s (b[x_1, x_2] + d([x_1, x_2])) [x_1, x_2]^t, x_3 ] = 0 \||,$$

$$\Psi_1 = (\forall x) \|[ H(x) = bx ] \||,$$

$$\Psi_2 = (\forall x_1)(\forall x_2)(\forall x_3)(\forall x_4) \|[ S_4(x_1, x_2, x_3, x_4) = 0 ] \||.$$

We can easily check that  $\Phi$  is a hereditary first order formula and  $\neg\Phi, \Psi_1, \Psi_2$  are Horn formulas. So using Theorem 1.1, all conditions of Lemma 2.6 are fulfilled. Hence there exist two orthogonal idempotents  $e_1$  and  $e_2$  such that  $e_1 + e_2 = 1$ . If  $e_i \neq 0$ , then  $e_i A \models \Psi_i, i = 1, 2$ . This completes the proof.

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